

08/21/01

|   |                     |                           |
|---|---------------------|---------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>For new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | N1379-002                 |
|   | First Inventor      | Drew Russell IVERS        |
|   | Title               | Soybean Cultivar SG0911RR |

**Assignee Information:** SOYGENETICS, LLC  
West Lafayette, Indiana

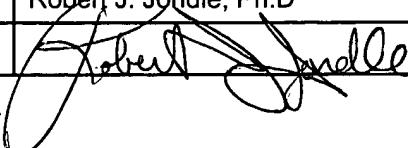
|  |  |   |
|--|--|---|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small>   |  | ADDRESS TO: Assistant Commissioner of Patents<br>Box Patent Application<br>Washington, D.C. 20231 |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.</p> <p>3. <input checked="" type="checkbox"/> Specification Total pages [33]<br/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross references to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings</li> <li>- Detailed Description</li> <li>- Claims</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets) [ ]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [ 1 ]</p> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application<br/>(37 CFR 1.63(d))<br/>(for continuation/divisional with Box 17 completed)<br/><b>[Note Box 5 below]</b></li> </ul> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting<br/>inventor(s) named in the prior application,</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet.</p>   |  |   |
| <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br/><i>(when there is an assignee)</i></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney</li> </ul> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement /PTO 1449</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Copies of IDS Citations</li> </ul> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/><i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s).</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C.<br/>122(b)(2)(B)(i).</p> <p>17. <input type="checkbox"/> Other:</p> |  |   |

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

Continuation     Divisional     Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

|  |   |           |              |                      |              |
|--|---|-----------|--------------|----------------------|--------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label 6449 |   |           |              |                      |              |
| Address  | Rothwell, Figg, Ernst & Manbeck<br>Suite 701-East, 555 13th Street, N.W.            |           |              |                      |              |
| City   | Washington  | State     | D.C.         | Zip Code             | 20004        |
| Country  | U.S.A.  | Telephone | 202-783-6040 | Fax                  | 202-783-6031 |
| Name   | Robert J. Jondle, Ph.D  |           |              |                      |              |
| Signature  |  |           |              | Date August 20, 2001 |              |

J1050 US 09/932918  
08/21/01

**FEE TRANSMITTAL  
for FY 2001  
(Large Entity)**

|                         |            | <i>Complete if Known</i> |                    |
|-------------------------|------------|--------------------------|--------------------|
|                         |            | Application Number       |                    |
|                         |            | Filing Date              |                    |
|                         |            | First Named Inventor     | Drew Russell IVERS |
|                         |            | Examiner Name            |                    |
|                         |            | Group Art Unit           |                    |
| Total Amount of Payment | (\$822.00) | Attorney Docket Number   | N1379-002          |

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status
2.  Payment Enclosed: Check

**FEE CALCULATION (continued)**

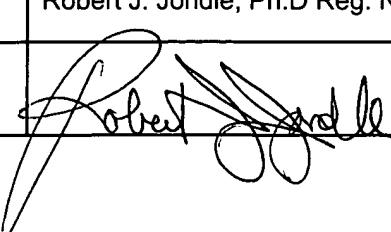
**3. ADDITIONAL FEES**

| Fee Code                  | Fee Paid                        | Fee Description  | Fee Paid |
|---------------------------|---------------------------------|--|----------|
| 105                       | 130                             | Surcharge - late filing fee or oath  | [ ]      |
| 127                       | 50                              | Surcharge - late provisional filing fee or cover sheet                     | [ ]      |
| 139                       | 130                             | Non-English specification  | [ ]      |
| 147                       | 2,520                           | For filing a request for reexamination                                     | [ ]      |
| 112                       | 920                             | Requesting publication of SIR prior to Examiner action                     | [ ]      |
| 113                       | 1,840*                          | Requesting publication of SIR after Examiner action                        | [ ]      |
| 115                       | 110                             | Extension for reply within first month                                     | [ ]      |
| 116                       | 390                             | Extension for reply within second month                                    | [ ]      |
| 117                       | 890                             | Extension for reply within third month                                     | [ ]      |
| 118                       | 1,390                           | Extension for reply within fourth month                                    | [ ]      |
| 128                       | 1,890                           | Extension for reply within fifth month                                     | [ ]      |
| 119                       | 310                             | Notice of Appeal   | [ ]      |
| 120                       | 310                             | Filing a brief in support of an appeal                                     | [ ]      |
| 121                       | 270                             | Request for Oral Hearing   | [ ]      |
| 138                       | 1,510                           | Petition to institute a public use proceeding                              | [ ]      |
| 140                       | 110                             | Petition to revive -unavoidable  | [ ]      |
| 141                       | 1,240                           | Petition to revive - unintentional   | [ ]      |
| 142                       | 1,240                           | Utility issue fee (or reissue)   | [ ]      |
| 143                       | 440                             | Design issue fee   | [ ]      |
| 144                       | 600                             | Plant issue fee  | [ ]      |
| 122                       | 130                             | Petitions to the Commissioner  | [ ]      |
| 123                       | 50                              | Petitions related to provisional applications                              | [ ]      |
| 126                       | 180                             | Submission of Information Disclosure Statement                             | [ ]      |
| 581                       | 40                              | Recording each patent assignment per property (times number of properties) | [ 40 ]   |
| Total Claims Independent  | [24] - 20** = [4] x \$18 = [72] |  |          |
| Claims                    | [ 2 ] - 2** = [ ] x 80 = [ ]    |  |          |
| Multiple Dependent Claims | + 270 = [ ]                     |  |          |
| <b>SUBTOTAL</b> \$ 710.00 |                                 |  |          |
|                           |                                 | 146  | 710      |
|                           |                                 | 149  | 710      |
|                           |                                 | 179  | 710      |
|                           |                                 | 169  | 900      |
| Other fee (specify)       |                                 |  |          |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL**

\$40.00

| SUBMITTED BY         |   |      | Complete (if applicable) |                         |
|----------------------|---|------|--------------------------|-------------------------|
| NAME AND REG. NUMBER | Robert J. Jondle, Ph.D Reg. No. 33,915  |      |                          |                         |
| SIGNATURE            |  | DATE | August 20, 2001          | DEPOSIT ACCOUNT USER ID |